## Formalising Receipt-Freeness

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## TU/e Evoting

## Receipts

Formalisation
More concretely
Application
Final Thoughts

Safe and secure elections over a hostile network
Security properties of evoting protocols include:

- Democracy
- Accuracy
- Individual verifiability
- Universal verifiability
- Privacy
- voter privacy
- receipt-freeness
- coercion-resistance


## TU/e intuition

## Receipts <br> O intuition <br> - requirements

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receipt: proof of how a voter has voted
Non-existent in pre-1994 protocols
Example:
In the FOO92 protocol, a voter can prove how she voted by disclosing the position of her vote on the published list of received votes and by disclosing the used encryption key.

## TU/e requirements

## Receipts

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More precisely:
"receipt $r$ proves that voter $v$ cast a vote for candidate $c$ "

This means any receipt must satisfy the following:

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- R1: $r$ authenticates $v$


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- R1: $r$ authenticates $v$
- R2: $r$ proves that $v$ chose candidate $c$
- R3: $r$ proves that $v$ cast her vote


## TU/e ingredients

## Receipts

Formalisation

- ingredients
- decomposing receipts

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■ voters $v \in \mathcal{V}$, choices $c \in \mathcal{C}$

- ballots $\mathcal{B}$ and results $\mathcal{M}(\mathcal{C})$
- received ballots $\mathcal{R B}$, from which the result will be computed

■ choice function $\Gamma: \mathcal{V} \rightarrow \mathcal{C}$ specifying how voters vote

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To denote receipts, the following syntax is used:

- receipts $r \in \mathcal{R}$
- Terms $(v)$, the set of all terms that a voter $v \in \mathcal{V}$ can generate
- authentication terms $\mathcal{A T}(v)$ :

$$
a t \in \mathcal{A T}(v) \Longrightarrow \forall w \neq v: \text { at } \notin \operatorname{Terms}(w)
$$

■ auth: $\mathcal{A T} \rightarrow \mathcal{V}$, the unique voter that created an at

## TU/e decomposing receipts

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## - ingredients

 - decomposing receiptsMore concretely

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The following functions are used to decompose receipts:

- $\alpha: \mathcal{R} \rightarrow \mathcal{A T}$, extract authentication term from receipt
- $\beta: \mathcal{R} \rightarrow \mathcal{R B}$, extract ballot from receipt
- $\gamma: \mathcal{R} \rightarrow \mathcal{C}$, extract candidate from receipt

Formalisation of requirements R1-3 for receipt $r$ :

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For valid receipts: auth $(\alpha(r))=v \Longrightarrow \gamma(r)=\Gamma(v)$ Sufficient: $\gamma=\Gamma \circ$ auth $\circ \alpha$.

## TU/e receipts as terms

## Receipts

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- suitable terms

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Receipts must be derivable from an execution of a protocol.
Thus, we limit the notion of receipts to terms
(i.e. $\mathcal{R}=\emptyset \vee \mathcal{R} \subseteq T e r m s$ ).

Analyzing protocols:

- Model the protocol in ACP (+ tweaks)
- Test suitability of communicated terms as receipts
- Pronounce judgment


## TU/e suitable terms

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Write $t \in t^{\prime}$ if $t$ is a subterm of $t^{\prime}$.
$\alpha, \beta$ extract terms from terms, i.e. they deal with subterms.

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Lemma $\quad \forall t \in \mathcal{R}: \alpha(t) \in t \wedge \beta(t) \in t$

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Lemma $\quad \forall t \in \mathcal{R}: \alpha(t) \in t \wedge \beta(t) \in t$
(Note: at $\in t^{\prime} \wedge a t \in \mathcal{A T}(v) \Longrightarrow t^{\prime} \in \mathcal{A T}(v)$.
Therefore, receipts are authentication terms)

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\text { Lemma } \quad \forall t \in \mathcal{R}: \alpha(t) \in t \wedge \beta(t) \in t
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This does not capture the entire notion of receipts, but turns out to be strong enough in the examined cases.

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## Receipts

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- BT
- BT: receipt-free

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- Original receipt-freeness paper by Benaloh \& Tuinstra
- Attack found... but not on the main scheme
- Assumes untappable channels and a voting booth
- Uses randomised encryption and "ZKP"

Process for voting authority:

Process for a voter:

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Process for voting authority:

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\begin{aligned}
A(v)= & \sum_{x \in E(0), y \in E(1)} s_{a \rightarrow v}(\min (x, y), \max (x, y)) \\
& p_{a \rightarrow v}^{*}(x \in E(0) \wedge y \in E(1)) \cdot\left(r_{v \rightarrow a}(x)+r_{v \rightarrow a}(y)\right)
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V= & \sum_{x, y} r_{a \rightarrow v}(x, y) \cdot \sum_{i \in\{0,1\}} p_{a \rightarrow v}^{*}(x \in E(i) \wedge y \in E(1-i)) \\
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Let's examine the voter process:

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Subterm of first term!
None of these terms can satisfy the lemma!
Thus: BT is receipt-free.

## TU/e Conclusions

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- A constructive approach to uncovering receipts
- But limited to terms
- BT, SK95, HS and ALBD analysis indicates receipt-freeness
- RIES and FOO analysis demonstrates receipts
- Further details in paper


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## Thank you for your attention!

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## TU/e example: FOO

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Rough sketch of the FOO protocol for voter $v$, admin $a$ and counter cnt:

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Obvious receipt... but it seems to lose its validity Using timestamping on the receipt $\Longrightarrow$ no loss of validity

## TU/e RIES

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- Used in Dutch water management board elections
- Handled over 70,000 votes
- Uses a publicly-known hash-function and voter-specific keys
- Obvious receipt

How it works:

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How it works:

1. $a \rightarrow v: \operatorname{key}(v)$
2. $a$ : publish list of all possible encrypted votes, hashed:
$\mathcal{L}=\bigcup_{v \in \mathcal{V}}\left\{\left\langle h\left(\{c\}_{\text {key }(v)}\right), c\right\rangle \mid c \in \mathcal{C}\right\}$

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Notice a receipt?

## TU/e receipts in RIES

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To prove that $v$ cast a vote for candidate $c$, it suffices to show an $k$ such that $\left\langle h\left(\{c\}_{k}\right), c\right\rangle \in \mathcal{L}$.

This is precisely the voter's key!

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This means the following in the formalism:

■ $\alpha(x)=x$

- $\beta(x)=x$


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This is precisely the voter's key!
This means the following in the formalism:

- $\alpha(x)=x$
- $\beta(x)=x$... for suitable $\mathcal{R B}$

