

Formalising Receipt-Freeness

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TU/e Evoting

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Formalisation

More concretely

Application

Final Thoughts

Safe and secure elections over a hostile network

Security properties of evoting protocols include:

- Democracy
- Accuracy
- Individual verifiability
- Universal verifiability
- Privacy
 - voter privacy
 - receipt-freeness
 - coercion-resistance

TU/e intuition

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receipt: proof of how a voter has voted

Non-existent in pre-1994 protocols

Example:

In the FOO92 protocol, a voter can prove how she voted by disclosing the position of her vote on the published list of received votes and by disclosing the used encryption key.

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"receipt: proof of how a voter has voted"

More precisely:

"receipt r proves that voter v cast a vote for candidate c"

This means any receipt must satisfy the following:

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R1: r authenticates v

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- **R1:** r authenticates v
- **R2:** r proves that v chose candidate c

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"receipt r proves that voter v cast a vote for candidate c"

This means any receipt must satisfy the following:

- **R1:** r authenticates v
- **R2:** r proves that v chose candidate c
- **R3:** r proves that v cast her vote

TU/e ingredients

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- ingredients
- decomposing receipts

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- voters $v \in \mathcal{V}$, choices $c \in \mathcal{C}$
- ballots \mathcal{B} and results $\mathcal{M}(\mathcal{C})$
- received ballots \mathcal{RB} , from which the result will be computed
- choice function $\Gamma \colon \mathcal{V} \to \mathcal{C}$ specifying how voters vote

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To denote receipts, the following syntax is used:

- receipts $r \in \mathcal{R}$
- Terms(v), the set of all terms that a voter $v \in \mathcal{V}$ can generate
- authentication terms $\mathcal{AT}(v)$: $at \in \mathcal{AT}(v) \implies \forall w \neq v : at \notin Terms(w)$

• $auth: \mathcal{AT} \to \mathcal{V}$, the unique voter that created an at

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The following functions are used to decompose receipts:

α: R → AT, extract authentication term from receipt
 β: R → RB, extract ballot from receipt
 γ: R → C, extract candidate from receipt

Formalisation of requirements R1-3 for receipt r:

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For valid receipts: $auth(\alpha(r)) = v \implies \gamma(r) = \Gamma(v)$ Sufficient: $\gamma = \Gamma \circ auth \circ \alpha$.

TU/e receipts as terms

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receipts as terms

• suitable terms

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Receipts must be derivable from an execution of a protocol.

Thus, we limit the notion of receipts to terms (i.e. $\mathcal{R} = \emptyset \lor \mathcal{R} \subseteq Terms$).

Analyzing protocols:

- Model the protocol in ACP (+ tweaks)
- Test suitability of communicated terms as receipts
- Pronounce judgment

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Write $t \in t'$ if t is a subterm of t'.

 α,β extract terms from terms, i.e. they deal with subterms.

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Lemma $\forall t \in \mathcal{R}: \alpha(t) \in t \land \beta(t) \in t$

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(Note: $at \in t' \land at \in \mathcal{AT}(v) \implies t' \in \mathcal{AT}(v)$. Therefore, receipts are authentication terms)

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(Note: $at \in t' \land at \in \mathcal{AT}(v) \implies t' \in \mathcal{AT}(v)$. Therefore, receipts are authentication terms)

This does not capture the entire notion of receipts, but turns out to be strong enough in the examined cases.

TU/e BT

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●BT
BT: receipt-free

Final Thoughts

Original receipt-freeness paper by Benaloh & Tuinstra

- Attack found... but not on the main scheme
- Assumes untappable channels and a voting booth
- Uses randomised encryption and "ZKP"

Process for voting authority:

Process for a voter:

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Process for voting authority:

$$\mathbf{h}(v) = \sum_{x \in E(0), y \in E(1)} s_{a \to v}(\min(x, y), \max(x, y)) \cdot p_{a \to v}^* (x \in E(0) \land y \in E(1)) \cdot (r_{v \to a}(x) + r_{v \to a}(y))$$

Process for a voter:

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$$A(v) = \sum_{x \in E(0), y \in E(1)} s_{a \to v}(min(x, y), max(x, y)) \cdot p_{a \to v}^* (x \in E(0) \land y \in E(1)) \cdot (r_{v \to a}(x) + r_{v \to a}(y))$$

Process for a voter:

$$V = \sum_{x,y} r_{a \to v}(x,y) \cdot \sum_{i \in \{0,1\}} p_{a \to v}^* (x \in E(i) \land y \in E(1-i)) \cdot (\Gamma(v) = i \to s_{v \to a}(x) + \Gamma(v) = 1 - i \to s_{v \to a}(y))$$

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Let's examine the voter process:

Let's examine the voter process:

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 $V = \sum_{x,y} r_{a \to v}(x,y) \cdot$

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No ballot as a subterm

Let's examine the voter process:

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$$\left(\Gamma(v) = i \to s_{v \to a}(x) + \Gamma(v) = 1 - i \to s_{v \to a}(y)\right)$$

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Subterm of first term!

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None of these terms can satisfy the lemma!

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Subterm of first term!

None of these terms can satisfy the lemma!

Thus: BT is receipt-free.

TU/e Conclusions

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- A constructive approach to uncovering receipts
- But limited to terms
- BT, SK95, HS and ALBD analysis indicates receipt-freeness
- RIES and FOO analysis demonstrates receipts
- Further details in paper

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- A constructive approach to uncovering receipts
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 - Further details in paper

Thank you for your attention!

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Rough sketch of the FOO protocol for voter v, admin a and counter cnt:

1. v: create a blinded, encrypted vote

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- 1. v: create a blinded, encrypted vote
- 2. $v \rightarrow a$: blinded, encrypted vote signed by v

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- 7. $v \rightarrow cnt$: decryption key, index of vote in list

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Obvious receipt... but it seems to lose its validity

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Obvious receipt... but it seems to lose its validity Using timestamping on the receipt \implies no loss of validity

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- Used in Dutch water management board elections
- Handled over 70,000 votes
- Uses a publicly-known hash-function and voter-specific keys
- Obvious receipt

How it works:

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How it works:

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 $\mathcal{L} = \bigcup_{v \in \mathcal{V}} \{ \langle h(\{c\}_{key(v)}), c \rangle \mid c \in \mathcal{C} \}$

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Notice a receipt?

TU/e receipts in RIES

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To prove that v cast a vote for candidate c, it suffices to show an k such that $\langle h(\{c\}_k), c \rangle \in \mathcal{L}$.

This is precisely the voter's key!

TU/e receipts in RIES

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$$\bullet \ \alpha(x) = x$$

$$\bullet \ \beta(x) = x$$

TU/e receipts in RIES

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This means the following in the formalism:

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$$\alpha(x) = x$$

• $\beta(x) = x \dots$ for suitable \mathcal{RB}