

Formalising Receipt-freeness

(joint work with Erik de Vink)

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- How does voting work in the “real world”?
- Why vote digital?
- What is this “receipt-freeness” anyway?
- What is this “receipt-freeness” anyway – in a more formal sense?
- Aha! But how would you use this?

The type of elections we consider (1V1V):

- Various candidates
- Each voter may cast one vote
- All votes carry equal weight
- The result can be seen as the collection (multiset) of cast votes (ballots)

E.g. national elections in the Netherlands.

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● preventing cheating

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Cheating in elections is prevented by law, procedures and regulations, e.g.:

At all times during the elections, the chairman and two members of the voting bureau are present

Kieswet, Artikel J lid 12 sub 1

This provides (some) protection against incorrect voting, multiple voting, incorrect counting, etc. etc.

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Advantages:

Disadvantage:

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Advantages:

- Greater convenience for voter ($\stackrel{?}{\implies}$ greater voter turnout)
- Less overhead to set up elections

Disadvantage:

Advantages:

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Disadvantage: Re-invent the wheel:

- How to do elections in a digital environment?
- What attacks are possible?
- How to prevent those attacks?

Which means:

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Which means:

- Danger of introducing new flaws

Advantages:

- Greater convenience for voter ($\xRightarrow{?}$ greater voter turnout)
- Less overhead to set up elections

Disadvantage: Re-invent the wheel:

- How to do elections in a digital environment?
- What attacks are possible?
- How to prevent those attacks?

Which means:

- Danger of introducing new flaws
- Risk of forgetting about known flaws

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Several properties have been established for e-voting protocols, such as:

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Several properties have been established for e-voting protocols, such as:

■ Democracy

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Several properties have been established for e-voting protocols, such as:

- Democracy
- Eligibility

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Several properties have been established for e-voting protocols, such as:

- Democracy
- Eligibility
- Accuracy

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Several properties have been established for e-voting protocols, such as:

- Democracy
- Eligibility
- Accuracy
- Verifiability
 - ◆ Individual
 - ◆ Universal

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Several properties have been established for e-voting protocols, such as:

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- Fairness

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- Privacy
- Fairness
- ...
- Receipt-freeness(!)

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A receipt is an object which enables a voter to prove how she voted.

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A receipt is an object which enables a voter to prove how she voted.

Examples:

Everyone signs their vote.

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A receipt is an object which enables a voter to prove how she voted.

Examples:

Everyone signs their vote.

In Italy, simultaneous elections were held for various posts, using one ballot. The order of posts listed is up to the voter, and is preserved. An attacker (El Mafiosi) can assign each voter a specific order of posts.

Benaloh & Tuinstra

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More precisely: a receipt r proves that a voter v *cast* a vote for candidate c .

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More precisely: a receipt r proves that a voter v *cast* a vote for candidate c .

■ R1: r authenticates v

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More precisely: a receipt r proves that a voter v *cast* a vote for candidate c .

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- R2: r proves that v chose candidate c

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- R3: r proves that v cast her vote

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More precisely: a receipt r proves that a voter v *cast* a vote for candidate c .

- R1: r authenticates v
- R2: r proves that v chose candidate c
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Note:

- Specific for 1V1V elections
- Quite strict

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Rough sketch of the FOO protocol for voter v , admin a and counter cnt :

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Rough sketch of the FOO protocol for voter v , admin a and counter cnt :

1. v : create a blinded, encrypted vote

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1. v : create a blinded, encrypted vote
2. $v \rightarrow a$: blinded, encrypted vote signed by v

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7. $v \rightarrow cnt$: decryption key, index of vote in list

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Obvious receipt... but it seems to lose its validity

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Obvious receipt... but it seems to lose its validity
Timestamping \implies no it doesn't!

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- voters $v \in \mathcal{V}$, choices $c \in \mathcal{C}$
- ballots \mathcal{B} and results (multisets of choices) $\mathcal{M}(\mathcal{C})$
- a set of received ballots \mathcal{RB} , from which the result will be computed
- a choice function $\Gamma: \mathcal{V} \rightarrow \mathcal{C}$, which specifies how the voters vote

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To denote receipts, the following syntax is used:

- the set of receipts \mathcal{R}
- $Terms(v)$, the set of all terms that a voter $v \in \mathcal{V}$ can generate
- authentication terms $AT(v)$:
$$t \in AT(v) \implies \forall w \neq v: t \notin Terms(w)$$
- $auth: AT \rightarrow \mathcal{V}$, the unique voter that created an AT

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The following functions are used to decompose receipts:

- $\alpha: \mathcal{R} \rightarrow \mathcal{AT}$, extract authentication term from receipt
- $\beta: \mathcal{R} \rightarrow \mathcal{RB}$, extract ballot from receipt
- $\gamma: \mathcal{R} \rightarrow \mathcal{C}$, extract candidate from receipt

Formalisation of the requirements:

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Formalisation of the requirements:

- R1: $\alpha(r) \in \mathcal{AT}(v)$

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Formalisation of the requirements:

- R1: $\alpha(r) \in \mathcal{AT}(v)$
- R2: $\gamma(r) = \Gamma(v)$
- R3: $\beta(r) \in \mathcal{RB}$

So, for valid receipts: $auth(\alpha(r)) = v \implies \gamma(r) = \Gamma(v)$, which is satisfied by $\gamma = \Gamma \circ auth \circ \alpha$.

Intuitively, a receipt must be derivable from an actual execution of a voting protocol (i.e. receipts generated outside a protocol do not invalidate that protocol).

To facilitate detection of receipts, limit the notion of receipts to terms (i.e. $\mathcal{R} = \emptyset \vee \mathcal{R} \subseteq \underline{Terms}$).

Now:

- Model the protocol in ACP
- Test suitability of communicated terms as receipts
- Pronounce judgment

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To facilitate detection of receipts, limit the notion of receipts to terms (i.e. $\mathcal{R} = \emptyset \vee \mathcal{R} \subseteq \underline{Terms}$).

Now:

- Model the protocol in ACP (+ tweaks)
- Test suitability of communicated terms as receipts
- Pronounce judgment

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● receipts as terms

● receipts as terms II

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Write $t \in t'$ if t is a subterm of t' .

α, β *extract* terms from terms, i.e. they deal with subterms.

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● receipts as terms

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Write $t \in t'$ if t is a subterm of t' .

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Lemma $\forall t \in \mathcal{R}: \alpha(t) \in t \wedge \beta(t) \in t$

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Lemma $\forall t \in \mathcal{R}: \alpha(t) \in t \wedge \beta(t) \in t$

(Note that, by definition: $t \in t' \wedge t \in \mathcal{AT}(v) \implies t' \in \mathcal{AT}(v)$.
So receipts are themselves authentication terms)

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(Note that, by definition: $t \in t' \wedge t \in \mathcal{AT}(v) \implies t' \in \mathcal{AT}(v)$.
So receipts are themselves authentication terms)

Although this does not capture the entire notion of receipts, it turns out to be strong enough in the examined cases.

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● receipts in RIES

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- Formalisation not yet complete (for terms)
- Goal in this talk is a high-level analysis using the formalism

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- Original receipt-freeness paper by Benaloh & Tuinstra
- Attack found... but not on the main scheme
- Assumes untappable channels and a voting booth
- Uses randomised encryption and “ZKP”

Process for voting authority:

Process for a voter:

- Original receipt-freeness paper by Benaloh & Tuinstra
- Attack found... but not on the main scheme
- Assumes untappable channels and a voting booth
- Uses randomised encryption and “ZKP”

Process for voting authority:

$$A(v) = \sum_{x \in E(0), y \in E(1)} s_{a \rightarrow v}(\min(x, y), \max(x, y)) \cdot p_{a \rightarrow v}^*(x \in E(0) \wedge y \in E(1)) \cdot (r_{v \rightarrow a}(x) + r_{v \rightarrow a}(y))$$

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Process for a voter:

$$V = \sum_{x, y} r_{a \rightarrow v}(x, y) \cdot \sum_{i \in \{0, 1\}} p_{a \rightarrow v}^*(x \in E(i) \wedge y \in E(1 - i)) \cdot (\Gamma(v) = i \rightarrow s_{v \rightarrow a}(x) + \Gamma(v) = 1 - i \rightarrow s_{v \rightarrow a}(y))$$

Let's examine the voter process:

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Not an authentication term

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$$\sum_{i \in \{0,1\}} p_{a \rightarrow v}^*(x \in E(i) \wedge y \in E(1 - i)).$$

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No ballot as a subterm

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No ballot as a subterm

$$\left(\Gamma(v) = i \rightarrow s_{v \rightarrow a}(x) \quad + \quad \Gamma(v) = 1 - i \rightarrow s_{v \rightarrow a}(y) \right)$$

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$$\left(\Gamma(v) = i \rightarrow s_{v \rightarrow a}(x) \quad + \quad \Gamma(v) = 1 - i \rightarrow s_{v \rightarrow a}(y) \right)$$

Subterm of first term!

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$$(\Gamma(v) = i \rightarrow s_{v \rightarrow a}(x) \quad + \quad \Gamma(v) = 1 - i \rightarrow s_{v \rightarrow a}(y))$$

Subterm of first term!

None of the terms from the voter can satisfy $\alpha(t) \in t \wedge \beta(t) \in t$

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$$(\Gamma(v) = i \rightarrow s_{v \rightarrow a}(x) \quad + \quad \Gamma(v) = 1 - i \rightarrow s_{v \rightarrow a}(y))$$

Subterm of first term!

None of the terms from the voter can satisfy $\alpha(t) \in t \wedge \beta(t) \in t$
 \implies BT is receipt-free!

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- Used in Dutch water management board elections
- Handled over 70,000 votes
- Uses a publicly-known hash-function and voter-specific keys
- Obvious receipt

How it works:

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● BT

● BT: receipt-free

● RIES

● receipts in RIES

Final Thoughts

- Used in Dutch water management board elections
- Handled over 70,000 votes
- Uses a publicly-known hash-function and voter-specific keys
- Obvious receipt

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To prove that v cast a vote for candidate c , it suffices to show an k such that $\langle h(\{c\}_k), c \rangle \in \mathcal{L}$.

This is precisely the voter's key!

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This means the following in the formalism:

■ $\alpha(x) = x$

■ $\beta(x) = x$

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This means the following in the formalism:

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■ $\beta(x) = x \dots$ for suitable \mathcal{RB}

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● Conclusions

- We're doing nice work here!
- ... but we're not yet done
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Take care of yourself...

... and each other!

Jerry Springer